#### The Basics of Social Science Research

Political Science 225 North Dakota State University

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## Generating Social Science Theories

- Start with a question
- 2 Develop a theory or model
- O Derive specific hypotheses from the theory

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- Itest the hypotheses with evidence
- Second Evaluate the theory

# Asking Questions

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# Asking Questions

- Identify an empirical puzzle
- Note a surprising event or regularity
- Build on current theory/expectations

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## Example: Hong Kong Protests

Potential questions?



# Theory Building

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# Theory Building

#### Definition

A theory is a set of logically consistent statements that link cause and effect  $% \left( {{{\rm{S}}_{{\rm{s}}}}_{{\rm{s}}}} \right)$ 

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- Story that explains puzzle
- General process that generates known facts
- Simplified picture of the world

# Normative vs Objective

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#### Normative vs Objective

- Normative Theory
  - Concerned with how things *should* work

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• Focuses on preferences and values

#### Normative vs Objective

- Normative Theory
  - Concerned with how things *should* work
  - Focuses on preferences and values
- Objective Theory
  - Attempts to describe how things do work

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• Focuses on facts and observation

Social science theories all include three components:

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- Dependent variable
  - The effect
  - Response variable
  - What you're interested in

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- Independent variable(s)
  - The cause(s)
  - Explanatory variable(s)

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- Causal mechanism
  - The story
  - Should be internally consistent

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• Generality

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- Generality
- Specificity

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- Generality
- Specificity
- Simplicity

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- Specificity
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- Falsifiability

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- Generality
- Specificity
- Simplicity
- Falsifiability
- Accuracy

### Before Next Time

- Go to https://www.pbs.org/wgbh/frontline/film/ battle-for-hong-kong/
- Use the video as a jumping off point to ask a question leading to a social science theory.
- Before next class, post to the general channel on slack with a short paragraph containing:
  - a motivating question,
  - a basic theory composed of an independent variable, dependent variable, and very brief description causal mechanism.

# Warmup Exercise

- Form groups, pick a group leader/recorder
- Choose one of the your simple theories
  - What is the unit of observation?
  - What are the different levels/possible values of the IV?
  - What are the levels/possible values of the DV?
  - What does the theory predict that level/value of the DV will be for each possible level/value of the IV?

• Is the CM a causal mechanism or something else?

#### Causation

We explain political processes with cause and effect statements

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We explain political processes with cause and effect statements

- Cause and effect
  - Sufficient condition: outcome will occur when explanatory variable is present
  - Necessary condition: outcome cannot occur without the explanatory variable

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- Counterfactuals
- Deterministic causes
- Probabilistic causes
- Interaction

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- Counterfactuals
- Deterministic causes
- Probabilistic causes
- Interaction
- Showing causation
  - Temporal order
  - Covariation
  - Rule out other explanations

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#### Definition

A hypothesis is a statement of a testable prediction of a theory

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- If my theory is true, I expect to see...
- Objective rather than normative
- Key to falsifiability
- Should not relate to the original puzzle

#### Organizing Evidence: Data

Measuring (in)dependent variables



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• Operationalizaton

#### Organizing Evidence: Data

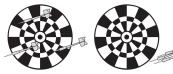
Measuring (in)dependent variables

- Operationalizaton
- Validity
- Reliability

#### Organizing Evidence: Data

Measuring (in)dependent variables

- Operationalizaton
- Validity
- Reliability





Precise, but not Accurate



Accurate, but not Precise



Accurate and Precise

Hypothesis testing is the standard scientific procedure for evaluating theory

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• State theory in terms of one or more hypotheses

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- Gather new data to test the theory
- Reject or fail to reject

Hypothesis testing is the standard scientific procedure for evaluating theory

- State theory in terms of one or more hypotheses
- Gather new data to test the theory
- Reject or fail to reject

This approach guards against *ex posto facto* argument but is very restrictive. You can't prove anything in (social) science.

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## Theory Evaluation

• Range of hypotheses supported

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- Alternate explanations
- Endogeneity
- Critical tests
- Replication

• Internal validity



- Internal validity
  - Temporal ambiguity

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Selection

- Internal validity
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- Selection
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- Selection
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- Construct validity

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• Extra construct content

- Internal validity
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- Extra construct content
- External validity
  - Units

- Internal validity
  - Temporal ambiguity
  - Selection
  - History
  - Maturation
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  - $\bullet \ \ {\rm Treatment}/{\rm IV} \ \ {\rm operationalization}$

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Setting



Experiments involve the *random* assignment of subjects to treatment and control groups

#### Experiments

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- High internal validity
- Low external validity
- Often infeasible or unethical

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- Low internal validity
- High external validity

Tricks to improve internal validity:

- Statistical controls
- Natural experiments
- Quasi-experimental designs

### Case Study Analysis

Case studies carefully trace political processes in specific instances

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# Case Study Analysis

Case studies carefully trace political processes in specific instances

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- Provide detail, context
- Low external validity
- Good for question and theory generation
- Useful for mechanism testing
- Deviant cases